

06/19/01  
JC914 U.S. PTO

06-21-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

15437-0536

## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Christopher H. ELVING, entitled EFFICIENT DATA BUFFERING IN A MULTITHREADED ENVIRONMENT, for a(n):

(X) Original Patent Application.  
( ) Continuing Application (prior application not abandoned):  
( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_  
( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

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09/885632

Enclosed are:

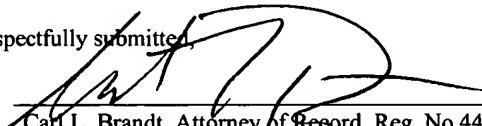
(X) Specification 42 Total Pages; (X) Drawing(s); 10 Total Sheets; (X) Cover Sheet 1 Page  
(X) Oath or Declaration: 4 Pages  
(X) A Newly Executed Combined Declaration and Power of Attorney:  
(X) Signed. ( ) Unsigned. ( ) Partially Signed.  
( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.  
( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
( ) Power of Attorney. (X) Return Receipt Postcard.  
( ) Associate Power of Attorney. (X) A Check in the amount of \$ 1,140.00 for the Filing Fee.  
( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.  
( ) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
(X) A Duplicate Copy of this Form for Processing Fee.  
( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
( ) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.  
( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  
(X) Recordation of Assignment Cover Sheet and executed Assignment.  
(X) Other: Fee Transmittal (in duplicate), Check in the amount of \$40 to record assignment.

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	35	15	\$18.00	\$ 270.00
Independent Claims	5	2	\$80.00	\$ 160.00
Multiple Dependent Claims (if applicable)				\$0.00
Basic Filing Fee				\$710.00
			Total Filing Fee	\$ 1,140.00

Charge \$ \_\_\_\_\_ to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

  
Carl L. Brandt, Attorney of Record, Reg. No.44,555

Date: June 19, 2001

Correspondence Address:

Hickman Palermo Truong & Becker, LLP  
1600 Willow Street  
San Jose, California 95125-5106  
Telephone: (408) 414-1080  
Facsimile: (408) 414-1076

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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By: Tirena Say

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Express Mail Label No.: EL734779417US

Date of Deposit: June 19, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$ 1,180.00)

**Complete if Known**

Application Number	Not Yet Assigned
Filing Date	June 19, 2001
First Named Inventor	Christopher H. ELVING
Examiner Name	Not Yet Assigned
Group/Art Unit	Not Yet Assigned

Attorney Docket No. 15437-0536

**METHOD OF PAYMENT** (check one)

1.  Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number 50-1302

Deposit Account Name Hickman Palermo Truong &amp; Becker, LLP

2.  Payment Enclosed:

 Check     Money Order     Other
 

3.  Applicant(s) is entitled to small entity status.  
See 37 CFR 1.27

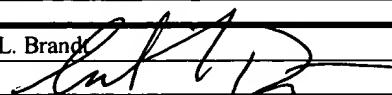
**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Fee \$	Small Entity Fee Code —\$	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath
127	50	227	25	Surcharge – late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive – unavoidable
141	1,210	241	605	Petition to revive – unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	690	246	345	40.00 Filing a submission after final rejection (37 CFR § 1.129(a))
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))
Other fee (specify)				
Other fee (specify)				

\*Reduced by Basic Filing Fee Paid      SUBTOTAL (3) (\$ 40.00)

**SUBMITTED BY**

Complete if applicable

Name (Print/Type)	Carl L. Brandt	Registration No. (Attorney/Agent)	44,555	Telephone	(408) 414-1080
Signature				Date	June 19, 2001

**WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.